

Form PTO-1449
(Rev. 2-32)U.S. Department of Commerce
Patent & Trademark OfficeINFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

Atty. Docket No.

C0464-192225

Serial No.

09/626,205

Applicant

Deborah A. Bennett, et al.

Filing Date

7/26/2000

Group

Unassigned

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date (if appropriate)
CB	1	5,918,218	6/29/99	Harris, et al.	705	37	9/1/94
CB	2	5,517,406	5/14/96	Harris, et al.	364	408	9/1/94
CB	3	5,787,402	7/28/98	Potter, et al.	705	37	10/8/96
CB	4	5,864,827	1/26/99	Wilson	705	35	6/27/97
CB	5	5,893,079	4/6/99	Cwenar	705	36	3/27/97
CB	6	5,991,739	11/23/99	Cupps, et al.	705	26	11/24/97

FOREIGN PATENT DOCUMENTS

	Document	Date	Country	Class	Sub-class	Translation	Yes/No
--	----------	------	---------	-------	-----------	-------------	--------

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

1	"The Image Is The Message," 1990 Computer Systems News, 1990, (Page 8 of enclosure).
2	"Tech Bytes: 100 Japanese Banks Using Prologic Fund System," <u>American Banker</u> , February 4, 1999, (page 22 of enclosure).
3	"Mutual Fund Co. Becomes First to Offer Web Sales," <u>Investment Dealers' Digest</u> , September 30, 1996, (page 23 of enclosure).
4	"E*Trade Again Rated #1 Place To Invest Online," <u>PR Newswire</u> , June 3, 1999, (page 25 of enclosure).
5	"Schwab Institutional To Rollout Y2K And Web-Integrated Software in June," <u>PR Newswire</u> , May 5, 1999, (page 28 of enclosure).
6	"Online Brokerage Is A "Must" Service," <u>Retail Delivery Systems News</u> , December 5, 1997, (page 31 of enclosure).
7	"i/money Website Celebrates 100,000 Registered Users," <u>Canada Newswire</u> , February 9, 1999, (page 43 of enclosure).
8	"Wachovia (WB) Expands Automated Securities Trading Service," <u>Bridge News</u> , August 5, 1997, (page 48 of enclosure).
9	"Unified Management Built Its Business Around First Automated Mutual Fund," <u>American Banker</u> , August 17, 1984, (page 49 of enclosure).
10	"Depository Trust Co. Prepares To Link Bank Trust Departments To Mutual Fund Processing," <u>The Bond Buyer</u> , March 6, 1989, (page 54 of enclosure).
11	"Enabling Retail Cross-Border Order Flow," <u>The Euromoney Foreign Exchange and Treasury Management Handbook</u> , 2000.

DATE CONSIDERED

6/1/02

Form PTO-FB A820
(also form PTO-1449)

Patent and Trademark Office— US DEPARTMENT OF COMMERCE

C0464-192225

WINLIB01:851935.1

EL 694905976US